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EDWARD BENNETT WILLIAMS (1920-1988)  
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January 7, 2020

Via ECF and Federal Express

Hon. William H. Pauley III  
United States District Judge  
Daniel Patrick Moynihan United States Courthouse  
500 Pearl Street, Room 1920  
New York, NY 10007-1312

Re: Securities and Exchange Commission v. Jason Sugarman (No. 19-cv-5998)

Dear Judge Pauley,

We represent Defendant Jason Sugarman in the above-referenced action and write pursuant to Your Honor's Individual Rule of Practice III.F to identify the following motion papers filed in connection with Mr. Sugarman's Motion to Dismiss the Complaint:

Motion to Dismiss (November 12, 2019)

Notice of Motion to Dismiss the Complaint ECF No. 38

Memorandum of Law in Support of Jason Sugarman's Motion to Dismiss the Complaint ECF No. 39

Declaration of David M. Zinn in Support of Jason Sugarman's Memorandum of Law in Support of His Motion to Dismiss the Complaint ECF No. 40

Exhibits A-C to Declaration of David M. Zinn ECF Nos. 40-1-40-3

Opposition to Motion to Dismiss (December 20, 2019)

Plaintiff Securities and Exchange Commission's Memorandum of Law in Opposition to Defendant's Motion to Dismiss ECF No. 42

Declaration of Jorge G. Tenreiro ECF No. 43

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Exhibit A to Declaration of Jorge G. Tenreiro ECF No. 43-1

Reply (January 7, 2020)

Reply Memorandum in Support of Jason Sugarman's ECF No. 45  
Motion to Dismiss the Complaint

Declaration of David M. Zinn in Support of Jason ECF No. 46  
Sugarman's Reply Memorandum

Exhibits D-E to Declaration of David M. Zinn ECF No. 46-1-46-2

A courtesy copy of the Reply Memorandum and its supporting papers are enclosed with the hard copy of this letter.

Sincerely,



A handwritten signature in black ink, appearing to read "D M Zinn".

David M. Zinn

cc: All parties (by ECF)